



EFG Bank SA  
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1211 Geneva 2 / Switzerland

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[www.efgbank.com](http://www.efgbank.com)

Dear all,

Please find attached a letter for  
a partial transfer of share.  
We have received the shares free  
of payment.

With our compliments

Could you please send me a confirmation of  
execution.

Member of EFG  International

Best regards.

X. Dejean  
Vice President



B210A (Form 210A) (12/09)

IN THE UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

In re Lehman Brothers Holdings Inc.

Case No. 08-13555

**PARTIAL TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the partial transfer, other than for security, of an undivided interest in the claim referenced in this evidence and notice.

**EFG BANK AG**

Name of Transferee

**HSBC PRIVATE BANK MONACO S.A.**

Name of Transferor

Name and Address where notices to transferee should be sent:

Court Claim # :56651

EFG Bank  
24, quai du Seujet  
CP 2391 1211 Genève 2  
SUISSE

**Total Amount of Claim Filed with respect to  
isin XS0247679573:**

550 000 EUR equivalent to 784 520 \$ +  
indeterminate amount of interests

**Amount of Claim Transferred:**

**EUR 100 000**

( equivalent to USD 142 640 USD )+  
indeterminate amount of interests

**ISIN/CUSIP: XS0247679573**

Blocking Number: 6060768

Date Claim Filed: October 29, 2009

Name and address where transferee payments should be sent (if different from above):

*Deutsche Bank AG, Frankfurt  
Swift code : DEUTDEFF  
In favour of EFG BANK  
Account No : 100.9425455.0000*

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: **EFG BANK AG**

Transferee/Transferee's Agent

Date: 8<sup>th</sup> July 2013

*X. Dejean*  
Vice President

*INTERNAL - Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years or both. 18 U.S.C. §§ 152 & 3571.*

Form 210B (12/09)

IN THE UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

In re Lehman Brothers Holdings Inc.

Case No. 08-13555

**NOTICE OF PARTIAL TRANSFER OF CLAIM  
OTHER THAN FOR SECURITY**

Claim No. 55393 was filed or deemed filed under 11 U.S.C. § 1111(a) in this case by the alleged transferor. As evidence of the transfer of that claim, the transferee filed a Partial Transfer of Claim Other than for Security in the clerk's office of this court on October [3], 2012.

**HSBC PRIVATE BANK MONACO S.A.**

Name of Alleged Transferor

Address of Alleged Transferor:

17 AVENUE D'OSTENDE  
MC 98000 MONACO

Attn: GEROME VICENTE

Phone: +377 93 15 24 23

Email: gerome.vicente@hsbcpb.com

**EFG BANK AG**

Name of Transferee

Address of Transferee:

EFG Bank  
24, quai du Seujet  
CP 2391 1211 Genève 2  
SUISSE

  
X. Dejean  
Vice President

**~ DEADLINE TO OBJECT TO TRANSFER ~**

The alleged transferor of the claim is hereby notified that objections must be filed with the court within twenty-one (21) days of the mailing of this notice. If no objection is timely received by the court, the transferee will be substituted as the original claimant without further order of the court.

Date: \_\_\_\_\_

\_\_\_\_\_  
CLERK OF THE COURT